

PROTOCOL TO START ANTI-CORRUPTION COLLECTIVE ACTION INITIATIVES

Global Compact Network Brazil Action Against Corruption Platform

The Global Compact Network Brazil is a corporate sustainability initiative linked to the United Nations. We have more than 1,800 members, including the largest companies operating in the Brazilian territory. Our mission is to engage the private sector in the 2030 Agenda and its 17 Sustainable Development Goals (SDGs). To this end, we promote actions and develop activities, studies and solutions through our seven Action Platforms.

One of them is the Platform Action Against Corruption, a space to promote learning, constructive dialogue and the exchange of experiences, providing an understanding of the risks and opportunities in the fight against corruption in Brazil.

This Platform currently has an Advisory Committee on Collective Actions. We gather 21 experts from different sectors and activities (NGOs, civil society, private sector, UN and public sector) who provide insights on how to best adapt anti-corruption Collective Actions to respond to prevailing conditions in the Brazilian context.

The first delivery of this Committee is this protocol for starting anti-corruption Collective Actions by Global Compact Network Brazil. When different groups or sectors approach the Platform Against Corruption, based on what criteria should it decide to start a new Collective Action? This document aims to assist in this decision-making process.

BUSINESS CASE

- ▶ Justification: why develop a Collective Action on this sector/theme?
- ▶ Are there other Collective Actions already addressing this sector/theme?
- ▶ Which corruption challenge will this Collective Action respond to?
- ▶ What specific problem will it address?
- ▶ Will the proposed Collective Action be national or regional in scope? If applicable, which other countries are you planning to involve?
- ▶ Is this Collective Action short-term or long-term (more than one year)?
- ▶ Which partners are relevant to the project and how will they participate?

Interested parties should detail their Business Case based on the above guiding questions. By completing and forwarding the online form, interested parties agree with the items below. To access the online form, [click here](#).

GOVERNANCE

- ✓ We agree that the Global Compact Network Brazil will facilitate the formatting and development of an anti-corruption Collective Action.

- ✓ We understand that, if Global Compact Network Brazil accepts our proposal, it will provide us with its knowledge, human and logistical resources in a neutral, independent and confidential manner, with the aim of helping us reach collective decisions and to facilitate the performance of Collective Action.
- ✓ We are aware that we must develop and sign an action governance document containing rules for collective decision-making.
- ✓ We are aware that we must establish assertive governance, but with flexibility.

Transparency and confidentiality

- We agree to sign a confidentiality agreement and declaration of conflicts of interest, observing international legislation and best practices.
- We agree to sign a declaration of principles of maximum transparency, with guidelines to establish the limits between transparency and confidentiality.
- We agree to develop a communication plan, with clear guidelines regarding the feasibility, suitability, and relevance of public communication on the Collective Action.

Inclusion and exclusion of members

- We agree to establish rules and principles for the entry of participants, including associations, multilateral organizations, public organizations and civil society/academy.
- We believe that the establishment of minimum requirements for the entry of members is relevant, preferably the presentation of a corruption risk analysis and a code of conduct and ethics.
- We agree to establish rules and principles for the suspension or expulsion of Collective Action members.

Closing of Collective Action

We accept the Global Compact Network Brazil's conditions under which the collective action should be terminated.

COMMITMENT

We commit to

- develop a joint work plan, preferably within 120 days from the start of the Collective Action;
- participate in regular meetings, preferably monthly, to develop Collective Action projects;
- develop rules for suspending or excluding members in the event of unexcused absences and/or low level of engagement;
- publicize the initiatives and promote the engagement of other organizations;
- provide additional support (meeting space, etc.) as requested; and
- seek the involvement of senior management in the Collective Action activities, preferably by participating in at least one of the initial meetings.

SUSTAINABILITY OF THE COLLECTIVE ACTION

- ✓ We believe it is essential to share the financial investments required for the Collective Actions' sustainability.



- ✓ We will seek the commitment of senior management regarding direct financial investments in the Collective Action and the availability of resources (human, physical spaces, dissemination, etc.).

WORK PLAN

We agree to establish within a reasonable deadline, preferably within 120 days, an activity plan, containing at least:

- ✓ Agreement on the desired level of monitoring (whether peer pressure or external monitoring);
- ✓ Definition of the roles played by stakeholders and future members, including the creation of subgroups and/or working groups;
- ✓ Actions, divided into phases, with a proposed schedule;
- ✓ Definition of the product or service to be delivered;
- ✓ Proposal for monitoring and evaluating the Collective Action, with clear and measurable indicators, and guidelines to produce success stories and lessons learned.

After the form is submitted, the Action Against Corruption Platform will contact interested parties within 15 days.